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Susan Sesnovich	(Depositor's name)
<i>Susan Sesnovich</i>	(Signature)
<i>September 13 2005</i>	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/772 087	02/03/2004	Andrey B. Matsko	06618-927001	2568

TITLE OF INVENTION: ELECTRO-OPTICAL MODULATION AND OTHER OPTICAL APPLICATIONS USING POLED OPTICAL WHISPERING GALLERY MODE RESONATORS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$1000	09/29/2005
EXAMINER	ART UNIT	CLASS-SUBCLASS		09/16/2005 CNGUYEN3 00000052 10772087	
HEALY, BRIAN	2883	385-028000		01 FC:2501 02 FC:1504 03 FC:0001	700.00 300.00 30.00

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

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(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

California Institute of Technology

Pasadena, California

Please check the appropriate assignee category or categories (will not be printed on the patent): Individual Corporation or other private group entity Government

4a. The following fee(s) are enclosed:

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Issue Fee
 Publication Fee (No small entity discount permitted)
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The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 06-1050 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

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Typed or printed name

Scott C. Harris

Registration No.

32,030

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Attorney's Docket No.: 06618-927001 / CIT-3841/OE035

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Andrey B. Matsko et al. Art Unit : 2883
Serial No. : 10/772,087 Examiner : Brian Healy
Filed : February 3, 2004
Title : ELECTRO-OPTICAL MODULATION AND OTHER OPTICAL
APPLICATIONS USING POLED OPTICAL WHISPERING GALLERY
MODE RESONATORS

MAIL STOP ISSUE FEE

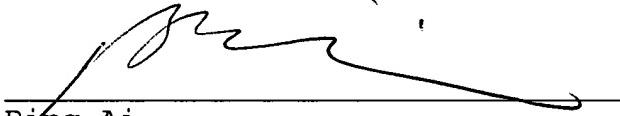
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Notice of Allowance Date: June 29, 2005
Confirmation No. 2568

RESPONSE TO NOTICE OF ALLOWANCE

In response to the Notice of Allowance mailed June 29, 2005, enclosed are a completed issue fee transmittal form PTOL-85b and a check for \$1,030 for the required fee, including the publication fee and patent copies. Please apply any charges or credits to our Deposit Account No. 06-1050.

Respectfully submitted,


Bing Ai
Reg. No. 43,312

Date: 9-13-05
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